

COMPLAINT STATUS - VALLUM CAPITAL ADVISORS PRIVATE LIMITED

Data for the month ending – September 2026:

Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending Complaints >3 month	Average Resolution time^ (in Days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other sources (if any)	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints:

Sr. No.	Month	Carried forward from previous month	Received	Resolves*	Pending #
1	April 2026	0	0	0	0
2	May 2026	0	0	0	0
3	June 2026	0	0	0	0
4	July 2026	0	0	0	0
5	August 2026	0	0	0	0
6	September 2026	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month

Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints:

Sr. No.	Year	Carried forward from Month	Previous Received	Resolved*	Pending ##
1	2023-2024	0	0	0	0
2	2024-2025	0	0	0	0
3	2025-2026	0	0	0	0
4	2026-2027 (YTD)	0	0	0	0
	Grand Total	0	0	0	0

* Inclusive of complaints of previous years resolved in the current year

Inclusive of complaints pending as on the last day of the year

- ✓ **Grievances Redressal Matrix (including Disability Grievances Redressal)** : Mr. Rajesh Bhatt | +91 93265 76656 | pms.grievances@vallum.in
- ✓ **SEBI Complaints Redress System (SCORES)**: <https://scores.sebi.gov.in/scores-home>